

08CYO05920

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

K. Blackburn et al.

Serial Number:

09/745,170

Filed:

December 20, 2000

Examiner:

A. Woodward

Art Unit:

1711

Title:

Flame Retardant Carbonate Polymer Material

Attn: Office of Petitions Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

# PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT UNINTENTIONALLY ABANDONED UNDER 37 C.F.R. 1.137(b)

Dear Sir:

This is DUPLICATE copy of the Petition to Revive an Application, the Petition for Extension of Time, and the Amendment and Response to Restriction Requirement that was filed by Facsimile Transmission to Fax No. 703-872-9306 on March 3, 2004. Also enclosed is a copy of the Auto-Reply Facsimile Transmission showing that the documents were received on March 3, 2004. Please consider the Petitions and the Amendment.

### **CERTIFICATE OF TRANSMISSION (37 CFR 1.8a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via facsimile to the United States Postal Service on the date shown to 703-872-9306 addressed to the: Office of Petitions, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Michelle Bugbee

09/745,170

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Respectfully submitted: K. BLACKBURN ET AL.

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FORMAL 1 , 2005

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forms if more than one signature is required, see below

forms are submitted.

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PTO/SB/122 (09-04) Approved for use through 07/31/2006, OMB 0651-0035

U.S. Palent and Trademark Office; U.S. DPARTMENT OF COMMERCE
Under the Papervork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/745,170 Application Number CHANGE OF December 20, 2000 CORRESPONDENCE ADDRESS Filing Date Application K. Blackburn First Named Inventor 1711 Art Unit Address to: Commissioner for Patents A. Woodward **Examiner Name** P.O. Box 1450 Alexandria, VA 22313-1450 08CY05920 Attomey Docket Number Please change the Correspondence Address for the above-identified patent application to: The address associated with Customer Number: 51401 OR Firm or Individual Name Address City State Zip Country Telephone Fax This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124). I am the: Applicant/Inventor Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). Attorney or agent of record. Registration Number Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number Signature Typed or Printed Michelle Bugbee Name Telephone 413-448-7208 Date February 11, 2005 NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentislity is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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PAGE 1/001

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# **Auto-Reply Facsimile Transmission**



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Serial No. 09/745,170	Filing Date 12/20/00	Examiner Ann Lucrecia Woodward	Group Art Unit 1711
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Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b) (Large Entity)  Docket No. 08CY05920			
In Re Application Of;	Blackburn et al.		
Serial No. Filing Date Examiner Group Art Unit 09/745,170 12/20/00 Ana Lucrecia Woodward 1711			
Invention: FLAME RETARDANT CARBONATE POLYMER COMPOSITION			
Attention: Office of Petitions  COMMISSIONER FOR PATENTS  P.O. Box 1450  Alexandria, VA 22313-1450			
Information	ition or assistance is needed in c on at (703) 305-9282.		
action by the Patent and	olication became abandoned for Trademark Office. The date of Office notice or action plus any e	of abandonment is the day a	fter the expiration date of the
APP	LICANT HEREBY PETITIONS F	OR REVIVAL OF THIS APPL	ICATION
NOTE: A grantable petition requires the following items:  (1) Petition fee;  (2) Reply and/or issue fee;  (3) Terminal disclaimer with disclaimer feerequired for all utility and plant applications filed before June 8, 1995; and for all design applications; and  (4) Statement that the entire delay was unintentional.			
A proposed reply to the above-identified notice or action:			
Is enclosed.	was filed on		
The proposed reply is in the form of:  Amendment and Response to Restriction Requirement			
2. The issue fee:			
is enclosed.	was paid on		
3. ⊠ The abandoned application was a:			
design application. 🛮 utility application. 🗖 plant application.			
4.   A terminal disclaimer (and fee) disclaiming a period equivalent to the period of abandonment is enclosed.			
5. 🛛 Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.			
	(Page 1	of 3]	P33LARGE/REV04

	vival Of An Application For Pa ally Under 37 CFR 1.137(b) (I		Docket No. 08CY05920		
in Re Application Of: 1	slackburn et al.		·		
Serial No. 09/745,170	Filing Date 12/20/00	Examiner Ana Lucrecia Woodward	Group Art Unit		
Invention; FLAME RE	TARDANT CARBONATE POLY	YMER COMPOSITION			
	Calculation and	i Payment of Fees			
Enclosed are the following	fees:				
6. ⊠ Petition fee unde	er 37 CFR 1.17(m) in the amoun	t of:	\$1,330.00		
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8.  Fee for extension	n of time to respond to Office Ac	tion in the amount of:			
9. Issue fee in the amount of:					
10. Continuing applic	10. Continuing application filing fee in the amount of:				
11. Terminal disclaimer fee in the amount of:					
12. 🗖					
		Total fees en	closed: \$1,330.00		
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Petition For Revival Of Ал Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b) (Large Entity)		Docket No. 08CY05920	
In Re Application Of: BI	ackborn et al.		
Serial No. 09/745,170	Filing Date 12/20/00	Examiner Ana Lucrecia Woodward	Group Art Unit
Invention: FLAME RET	ARDANT CARBONATE POL	YMER COMPOSITION	
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The entire delay in petition under 37 CFR 1.13	filing the required reply from the 37(b) was unintentional.	ne due date for the required reply (	until the filing of a grantable
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PETITION FOR EX	XTENSION OF TIME UNI (Large Entity)	DER 37 CFR 1.136(a)	Docket No. 08CY05920	
In Re Application Of: B	llackburn et al.			
Serial No. 09/745,170	Filing Date 12/20/00	Examiner Ans Lucrecia Woodward	Group Art Unit	
Invention: FLAME RE	TARDANT CARBONATE POL	YMER COMPOSITION		
		ONER FOR PATENTS:		
of <u>03/22/</u> <i>Data</i>	602above-identified applica		sponse to the Office Action	
The requested extension in One month	is as follows (check time period  Two months  The	desired): hree months	☑ Five months	
from:	04/22/02	until: 09/22/02	PIVE MONINS	
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Reg. No. 39,341 Customer No. 23,413 (860) 286-2929		dviarch 3, 2004 first class mail under 37 (	ment and fee is being deposited with the U.S. Postal Service as C.F.R. 1.6 and is eddressed to the s, P.O. Box 1450, Alexandria, VA	
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08CY5920-2

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Blackburn et al.	)
SERIAL NO.:	09/745,170	) Group Art Unit: 1711
FILED:	12/20/00	) Before the Examiner:
FOR:	FLAME RETARDANT CARBONATE POLYMER COMPOSITION	) Ana L. Woodward )

Via Facsimile (703) 872-9306 Assistant Commissioner for Patents P.O. Box 1450 Washington, D.C. 20231-1450

# AMENDMENT AND RESPONSE TO RESTRICTION REQUIREMENT PURSUANT TO 35 U.S.C. §121

Sir:

This is in response to the Restriction Requirement dated March 22, 2002.

### IN THE CLAIMS:

- 1. (currently amended) A thermoplastic resin composition, comprising:
  - (a) 50 to 95 pbw of a thermoplastic resin component comprising an aromatic polycarbonate resin a thermoplastic resin.
  - (b) 0 to 15 pbw of an organophosphorus flame retardant compound; and
  - (cb) 0.10 to 20 pbw of a flame-retarding amount of a polyimide compound, each based on 100 pbw of the thermoplastic resin component, organophosphorus compound, and polyimide compound.
- (currently amended) The composition of claim 1 comprising 1 to 15 pbw of the
   organophosphorus flame retardant compound wherein the thermoplastic resin comprises
   a polyearbonate.
- (currently amended) The composition of claim 2 wherein the thermoplastic resin component further comprises a <u>vinyl aromatic graft</u> copolymer.
- 4. (currently amended) The composition of claim [[1]]2 wherein the composition additionally comprises a organophosphorus flame retardant compound having has the formula:

wherein  $R_6$ ,  $R_7$ ,  $R_8$  and  $R_9$  are each independently aryl, halo aryl or  $(C_1-C_6)$ alkyl substituted aryl, X is arylene, halo arylene or  $(C_1-C_6)$ alkyl substituted arylene, a, b, c and d are each independently 0 or 1, and n is an integer from 0 to 5, more preferably from 1 to 5.

5. (canceled)

- 6. (currently amended) The composition of claim [[5]]4 wherein the thermoplastic resin composition further comprises a vinyl aromatic graft copolymer.
- 7. (currently amended) The composition of claim 6.1 wherein the polyimide is a polyetherimide and has the formula:

wherein the divalent T moiety bridges the 3,3', 3,4', 4,3', or 4,4' positions of the aryl rings of the respective aryl imide moieties; T is -O- or a group of the formula -O-Z-O-; Z is a divalent radical selected from the group consisting of formulae:

wherein X is a member selected from the group consisting of divalent radicals of the formulae:

wherein y is an integer from 1 to about 5, and q is 0 or 1; R<sup>10</sup> is a divalent organic radical selected from the group consisting of:

- (a) aromatic hydrocarbon radicals having from 6 to about 20 carbon atoms and halogenated derivatives thereof,
- (b) alkylene radicals having from 2 to about 20 carbon atoms.
- (c) cycloalkylene radicals having from 3 to about 20 carbon atoms, and divalent radicals of the general formula:

where Q is a member selected from the group consisting of formulae:

where y' is an integer from about 1 to about 5.

- 8. (original) The composition of claim 7 wherein the polycarbonate is a polycarbonate comprising bisphenol-A.
- 9. (original) The composition of claim 8 wherein T is derived from bisphenol-A.
- 10. (original) The composition of claim 9 wherein R<sup>10</sup> is

- 11. (new) A thermoplastic resin composition, comprising:
  - (a) 50 to 95 pbw of a thermoplastic resin component comprising an aromatic polycarbonate resin and a vinyl aromatic graft copolymer;
  - (b) 1 to 15 pbw of an organophosphorus flame retardant compound; and
  - (c) 0.10 to 20 pbw of a flame-retarding amount of a polyimide compound, each based on 100 pbw of the thermoplastic resin component, organophosphorus compound, and polyimide compound.
- 12. (new) The composition of claim 11 wherein the thermoplastic resin component comprises, based on 100 pbw of the resin component, 30 to 95 pbw of the polycarbonate, 1 to 70 pbw of the vinyl aromatic graft copolymer, and optionally an additional thermoplastic resin.
- 13. (new) The composition of claim 11 wherein the organophosphorus flame retardant compound has the formula:

wherein  $R_6$ ,  $R_7$ ,  $R_8$  and  $R_9$  are each independently aryl, halo aryl or  $(C_1-C_6)$ alkyl substituted aryle, X is arylene, halo arylene or  $(C_1-C_6)$ alkyl substituted arylene, a, b, c and d are each independently 0 or 1, and n is an integer from 0 to 5, more preferably from 1 to 5.

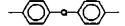
14. (new) The composition of claim 11 wherein the vinyl aromatic graft copolymer is an ABS copolymer. 15. (new) The composition of claim 11 wherein the polyimide is a polyetherimide and has structural units of the formula:

wherein the divalent T moiety bridges the 3,3', 3,4', 4,3', or 4,4' positions of the aryl rings of the respective aryl imide moieties; T is -O- or a group of the formula -O-Z-O-; Z is a divalent radical selected from the group consisting of formulae:

wherein X is a member selected from the group consisting of divalent radicals of the formulae:

wherein y is an integer from 1 to about 5, and q is 0 or 1; R<sup>10</sup> is a divalent organic radical selected from the group consisting of:

- (a) aromatic hydrocarbon radicals having from 6 to about 20 carbon atoms and halogenated derivatives thereof,
- (b) alkylene radicals having from 2 to about 20 carbon atoms,
- (c) cycloalkylene radicals having from 3 to about 20 carbon atoms, and divalent radicals of the general formula:



where Q is a member selected from the group consisting of formulae:

$$-c_{\gamma}H_{2\gamma}-$$
,  $-c_{-}$ ,  $-s_{-}$  and  $-s_{-}$ 

where y' is an integer from about 1 to about 5.

- (new) The composition of claim 15 wherein the polycarbonate is a polycarbonate comprising bisphenol-A.
- 17. (new) The composition of claim 16 wherein T is derived from bisphenol-A.
- 18. (new) A method for the manufacture a flame retardant thermoplastic resin composition, comprising combining
  - (a) 50 to 95 pbw of a thermoplastic resin component comprising an aromatic polycarbonate resin and a vinyl aromatic graft copolymer;
  - (b) I to 15 pbw of an organophosphorus flame retardant compound; and
- (c) 0.10 to 20 pbw of a flame-retarding amount of a polyimide compound, each based on 100 pbw of the thermoplastic resin component, organophosphorus compound, and polyimide compound.

#### REMARKS

Claims 1-10 are pending in the present application. Claims 1-2, 4, and 6-7 have been amended, and claims 3 and 5 have been cancelled, leaving claims 1-2, 4, and 6-10 pending upon entry of the present amendment. No new matter has been introduced by these amendments.

Antecedent basis for the amendments to claim 1 is found at least in the Summary of the Invention on page 1, in the first paragraph of the Detailed Description of the Invention on page 2, and in the 3<sup>rd</sup> and 4<sup>th</sup> full paragraphs on page 7.

Antecedent basis for the amendments to claim 2 is found at least in the first paragraph of the Detailed Description of the Invention on page 2.

Antecedent basis for the amendment to claims 3 and 6 is found at least in the 3<sup>rd</sup> full paragraph on page 7.

The amendments to claims 4 and 7 have been made for clarity, in particular to conform the claims to the other claim amendments and to better describe the invention.

### **New Claims**

New claims 11-18 have been presented.

Antecedent basis for new claims 11 and 12 is at least in originally claim 1, the Summary of the Invention on page 1, in the first paragraph of the Detailed Description of the Invention on page 2, and in the 3<sup>rd</sup> and 4<sup>th</sup> full paragraphs on page 7.

Antecedent basis for new claims 13 and 15-17 is found at least in claims 1-10 as originally presented.

Antecedent basis for new claim 14 is found at least in Table 1 on page 23.

Antecedent basis for new claim 18 is found at least in the Summary of the Invention on pages 1-2, in the first paragraph of the Detailed Description of the Invention on page 2, and in the 3<sup>rd</sup> and 4<sup>th</sup> full paragraphs on page 7.

### Restriction Requirement

The Examiner has restricted the original claims into four groups, based on the number of components in the claims. Applicants hereby elect to prosecute Group IV, drawn to a composition containing four components. It is believed that Group IV contains amended claims 6-10 and new claims 11-18.

Notwithstanding the foregoing election, Applicants respectfully traverse the rejection, on the grounds that the additional searching required for all groups is not unduly burdensome.

This election is being made without prejudice to Applicants' rights with respect to the remaining claims, including the right to file divisional application(s) thereon.

### **Election Requirement**

The Examiner has further requested election of an ultimate species of component (a) of original claim 1. Component (a) has now been amended to recite the presence of polycarbonate. It is believed that this amendment satisfies the election requirement.

If there are any charges with respect to this Restriction Requirement, or otherwise, please charge them to Deposit Account No. 07-0862

Respectfully submitted,

CANTOR COLBURN LLP

Reg. No. 39,341

Date:

Customer No. 23,413

Telephone:

(860) 286-2929